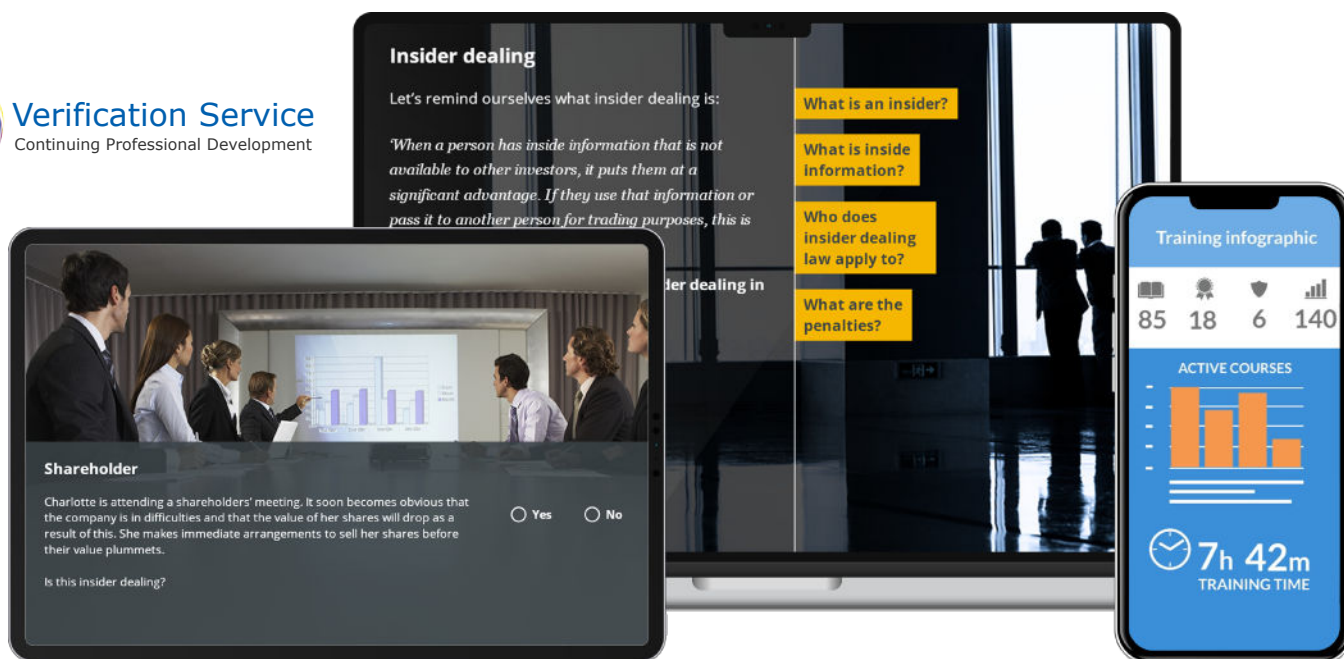


INSIDER DEALING



OVERVIEW

This Insider Dealing e-learning course covers the core elements of insider dealing, which is sometimes alternatively referred to as insider trading. The content aims to help ensure employees do not fall foul of insider dealing laws, by providing them with information, case studies and examples to refine their understanding and awareness of the issue.

When someone trades using information that is not available to the general public, this is insider dealing.

This course sets out to provide your learners with a thorough understanding of what does and does not amount to insider dealing. It provides a series of real life scenarios and examples to help ascertain when actions might be considered an offence.

The course provides information in a simple to understand format, helping the learner to appreciate the law and its implications, before giving insight into typical trading scenarios that arise with guidance on how they should be handled.

OBJECTIVES

- Understand insider dealing/trading and the law
- Appreciate the implications of insider dealing – penalties and imprisonment
- Identify situations that could lead to insider dealing
- Establish what inside information is
- Understand the rules through explicit case studies
- Determine how to report suspicious activity



DURATION

30 minutes. Including interactions.



AUDIENCE

This insider dealing e-learning course is suitable for anyone that may have access to information that could lead to insider trading.



CERTIFICATION

Upon completion of the course the learner will receive a CPD certificate.

